



## **Bath Clean Air Plan**

Bath and North East Somerset Council

### **Primary Behavioural Response Calculation Methodology**

674726.BR.042.OBC-16 | 2

11 September 2018

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## Bath Clean Air Plan

Project No: 674726.BR.042  
 Document Title: Primary Behavioural Response Calculation Methodology  
 Document No.: 674726.BR.042.OBC-16  
 Revision: 2  
 Date: 11 September 2018  
 Client Name: Bath and North East Somerset Council  
 Project Manager: BL  
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### Document history and status

| Revision | Date       | Description | By | Review | Approved |
|----------|------------|-------------|----|--------|----------|
| 1        | 10/08/2018 | Draft 1     | KW | JB     | BL       |
| 2        | 11/09/2018 | Draft 2     | KW | JB     | BL       |
|          |            |             |    |        |          |
|          |            |             |    |        |          |
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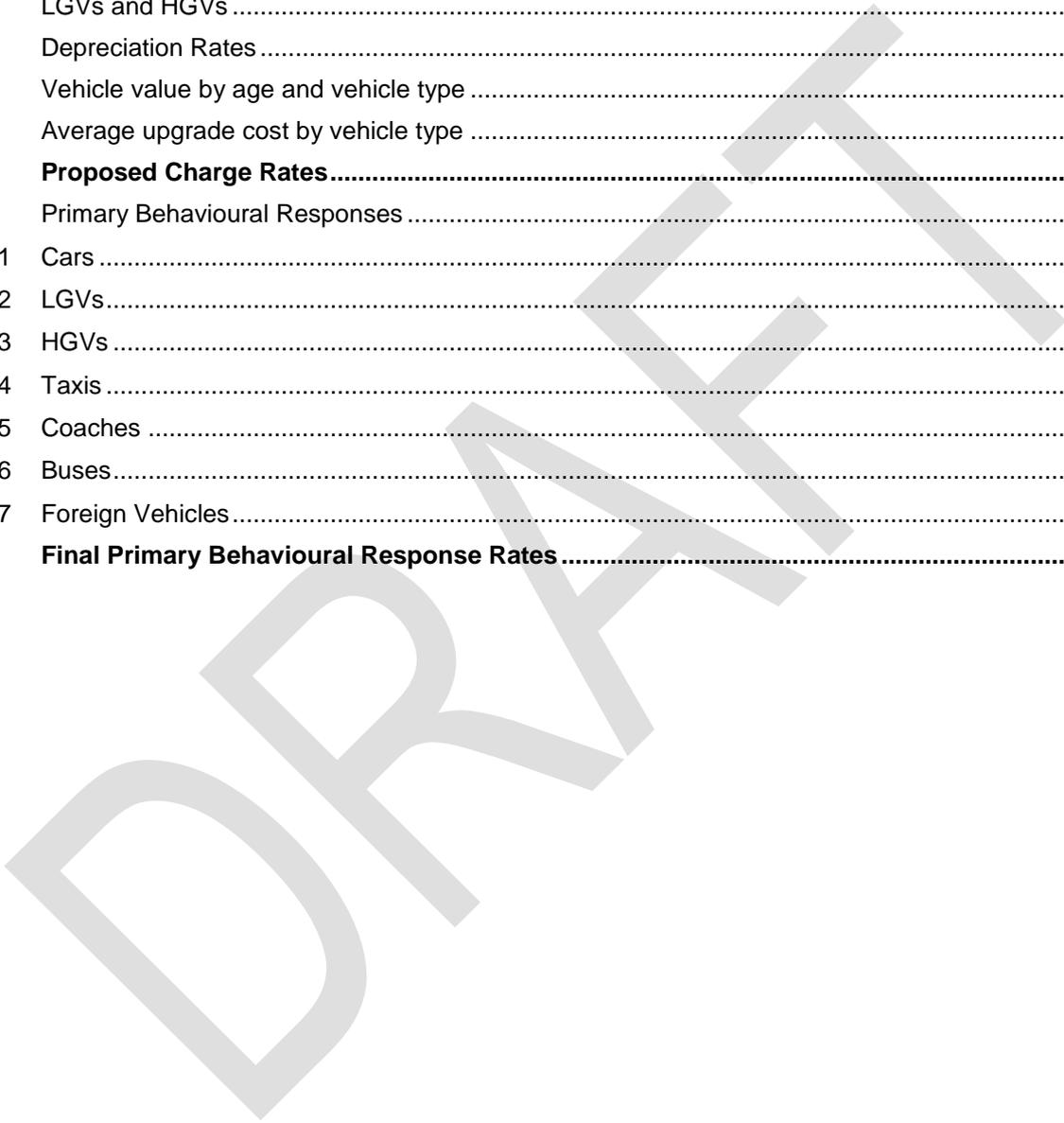
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## 1. Introduction

Poor air quality is the largest known environmental risk to public health in the UK<sup>1</sup>. Investing in cleaner air and doing more to tackle air pollution are priorities for the EU and UK governments, as well as for Bath and North East Somerset Council (B&NES). B&NES has monitored and endeavoured to address air quality in Bath, and wider B&NES, since 2002. Despite this, Bath has ongoing exceedances of the legal limits for Nitrogen Dioxide (NO<sub>2</sub>) and these are predicted to continue until 2025 without intervention.

In 2017 the government published a UK Air Quality Plan for Nitrogen Dioxide<sup>2</sup> setting out how compliance within the EU Limit Value for annual mean NO<sub>2</sub> will be reached across the UK in the shortest possible time. Due to forecast air quality exceedances, B&NES, along with 27 other Local Authorities, was directed by Minister Therese Coffey (Defra) and Minister Jesse Norman (DfT) in 2017 to produce a Clean Air Plan (CAP). The Plan must set out how B&NES will achieve sufficient air quality improvements in the shortest possible time. In line with Government Guidance B&NES is considering implementation of a Clean Air Zone (CAZ), including both charging and non-charging measures, in order to achieve sufficient improvement in air quality and public health.

Jacobs has been commissioned by B&NES to produce an Outline Business Case (OBC) for the delivery of the CAP; a package of measures which will bring about compliance with the Limit Value for annual mean NO<sub>2</sub> in the shortest time possible in Bath. The OBC assesses the shortlist of options set out in the Strategic Outline Case<sup>3</sup>, and proposes a preferred option including details of delivery. The OBC forms a bid to central government for funding to implement the CAP.

### 1.1 Purpose of this Report

This document is written to support the OBC and the methodology for calculating the behavioural response rates of non-compliant vehicles when they enter the Bath CAZ.

<sup>1</sup> Public Health England (2014) Estimating local mortality burdens associated with particular air pollution.  
<https://www.gov.uk/government/publications/estimating-local-mortality-burdens-associated-with-particulate-air-pollution>

<sup>2</sup> <https://www.gov.uk/government/publications/air-quality-plan-for-nitrogen-dioxide-no2-in-uk-2017>

<sup>3</sup> Bath and North East Somerset Council Clean Air Plan: Strategic Outline Case, March 2018  
([http://www.bathnes.gov.uk/sites/default/files/siteimages/Environment/Pollution/strategic\\_outline\\_case\\_bath\\_28.03.2018\\_with\\_annexes.pdf](http://www.bathnes.gov.uk/sites/default/files/siteimages/Environment/Pollution/strategic_outline_case_bath_28.03.2018_with_annexes.pdf))

## 2. Overview of Methodology

The aim is to determine the local proportions for each of the four primary responses for non-compliant vehicles to the implementation of the CAZ, which will replace the percentages shown in Figure 2-1 'Table 2 – Behavioural responses to charging Clean Air Zones' within the JAQU Evidence package.

| Proportions of non-compliant vehicle trips which react to the zone |             |             |             |             |       |       |       |         |
|--|-------------|-------------|-------------|-------------|-------|-------|-------|---------|
|  | Petrol Cars | Diesel Cars | Petrol LGVs | Diesel LGVs | RHGVs | AHGVs | Buses | Coaches |
| <b>Pay charge – Continue into zone</b>                             | 7.1%        | 7.1%        | 20.3%       | 20.3%       | 8.7%  | 8.7%  | 0.0%  | 15.6%   |
| <b>Avoid Zone – Trips removed, modelled elsewhere</b>              | 21.4%       | 21.4%       | 10.0%       | 10.0%       | 4.3%  | 4.3%  | 0.0%  | 0.0%    |
| <b>Cancel journey – trips removed completely</b>                   | 7.1%        | 7.1%        | 6.0%        | 6.0%        | 4.3%  | 4.3%  | 6.4%  | 12.5%   |
| <b>Upgrade Vehicle – trips replaced with compliant trips</b>       | 64.3%       | 64.3%       | 63.8%       | 63.8%       | 82.6% | 82.6% | 93.6% | 71.9%   |

**Figure 2-1: 'Table 2 – Behavioural responses to charging Clean Air Zones' from JAQU Evidence Package**

Note: RHGVs – Rigid HGVs and AHGVs- Artic HGVs

The results from the local stated preference surveys have been used to determine primary behavioural responses rates for non-compliant cars when a CAZ is implemented in Bath. For non-compliant light goods vehicles, employer’s business responses from the stated preference surveys were used. For heavy goods vehicles the responses are determined by looking at the upgrade cost compared to the charge on entering the CAZ. Bus and Taxi responses are based on discussions with B&NES and the service providers. For coaches, there are ongoing discussions with local coach operators to understand the fleet and likely responses, however due to the uncertainty and the relatively small proportion of the fleet that are coaches, the national response rates have been used as taken from 'Table 2 – Behavioural responses to charging Clean Air Zones' within the JAQU Evidence package, also shown above.

### 3. Stated Preference Surveys

Stated preference surveys have been undertaken to determine local behavioural responses to the implementation of a CAZ in Bath. The structure, implementation and outcomes of the survey are provided fully in OBC-30 Stated Preference Survey Report in Appendix L of this OBC, whilst a brief summary is set out in this report.

The main part of the survey are two stated preference exercises, the first asked the respondent to consider their most recent trip through the zone and how they would have responded from the following choices:

- Paid the charge and travelled as before;
- Made the same journey but changed mode;
- Not have made the journey at all;
- Made the same journey purpose but changed the destination;
- Made the same journey but changed route to avoid the zone; or,
- Made the same journey but switched to another compliant vehicle in their household (this option will only be shown if the respondent has indicated in an earlier question that such a vehicle exists).

The second exercise asked respondents about the longer-term choice of whether they would continue to pay the charge to travel in the zone or would pay upgrade the vehicle to a compliant one for a given hypothetical cost.

Once completed, the survey data underwent a cleaning process to identify and discard nonsensical questionnaires.

Statistical models were fitted to the data for each exercise and then combined into a single model in order to allow predictions to be made on behavioural changes in response to a specified charge level and upgrade cost. This information was then fed into the highway transport model as detailed in the OBC-13 Local Plan Transport Modelling Methodology Report (T3) in the OBC and outputs are detailed in OBC-17 Local Plan Transport Modelling Forecasting Report (T4) in the OBC.

## 4. Upgrade Costs

In order to determine the primary response rates over a range of CAZ charges from the stated preference surveys, an upgrade cost is required. The methodology for calculating the upgrade costs for Cars, LGVs and HGVs is outlined below.

The upgrade costs of other vehicle types (Taxi, Buses and Coaches) were not used to calculate the primary response rates. The primary response rates were determined by other information collated and this is discussed in the next section.

### 4.1 Cars

The cost of a new car was calculated by determining the most popular car models in the local area. A national list was obtained from the [www.smmr.co.uk](http://www.smmr.co.uk) website, which is comparable with the most popular car models identified from the Bath Automatic Number Plate Registration (ANPR) data. Prices for Petrol and Diesel models of the list of popular cars were extracted from the Parkers database for new car prices. Table 4-1 shows the new car prices for the most popular cars.

Table 4-1: New Car Prices based on Most Popular Cars

| Model            | New             |                 |                 |                 |                 |                 |
|------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
|                  | Petrol          |                 |                 | Diesel          |                 |                 |
|                  | High            | Low             | Ave             | High            | Low             | Ave             |
| Ford Fiesta      | £ 20,000        | £ 13,200        | £ 16,600        | £ 19,000        | £ 14,200        | £ 16,600        |
| Ford Focus       | £ 22,400        | £ 17,600        | £ 20,000        | £ 22,500        | £ 19,100        | £ 20,800        |
| Vauxhall Corsa   | £ 19,300        | £ 11,800        | £ 15,550        | £ 17,500        | £ 13,500        | £ 15,500        |
| Vauxhall Astra   | £ 23,400        | £ 14,500        | £ 18,950        | £ 21,900        | £ 16,100        | £ 19,000        |
| Volkswagen Golf  | £ 25,000        | £ 18,500        | £ 21,750        | £ 24,500        | £ 19,100        | £ 21,800        |
| BMW 3 Series     | £ 29,000        | £ 22,900        | £ 25,950        | £ 32,500        | £ 24,500        | £ 28,500        |
| MINI             | £ 15,905        | £ 20,635        | £ 18,270        |                 |                 | £ -             |
| Volkswagen Polo  | £ 17,500        | £ 15,500        | £ 16,500        | £ 17,400        | £ 15,800        | £ 16,600        |
| Renault Clio     | £ 15,000        | £ 11,000        | £ 13,000        | £ 15,500        | £ 12,500        | £ 14,000        |
| Audi A3          | £ 33,500        | £ 20,500        | £ 27,000        | £ 31,000        | £ 20,500        | £ 25,750        |
| Toyota Yaris     | £ 14,500        | £ 12,500        | £ 13,500        |                 |                 | £ -             |
| Mercedes C Class | £ 35,500        | £ 26,000        | £ 30,750        | £ 38,000        | £ 27,000        | £ 32,500        |
| <b>Average</b>   | <b>£ 22,584</b> | <b>£ 17,053</b> | <b>£ 19,818</b> | <b>£ 23,980</b> | <b>£ 18,230</b> | <b>£ 17,588</b> |

### 4.2 LGVs and HGVs

The cost of a new LGV, rigid HGV and artic HGV have been calculated from the Publication by Road Haulage Association on the LGV and HGV operating costs, 2018, linked below.

[http://www.transportengineer.org.uk/article-images/166209/Out\\_of\\_our\\_hands.pdf](http://www.transportengineer.org.uk/article-images/166209/Out_of_our_hands.pdf)

**Table 4-2: LGV and HGV 2018 New Vehicle Costs**

| Vehicle type | Detailed Vehicle Type                      | 2018 Cost      |
|--------------|--|----------------|
| LGV          | Car derivative Vans - diesel               | £14,244        |
|              | Vans of 3.5 tonnes gvw - diesel            | £26,186        |
|              | <b>Average</b>                             | <b>£20,215</b> |
| Rigid HGV    | 7.5 tonne gvw                              | £42,570        |
|              | 10 to 12 tonnes gvw                        | £50,419        |
|              | 12 to 14 tonnes gvw                        | £53,934        |
|              | 16 to 18 tonnes gvw                        | £70,929        |
|              | 3 axle rigid veh 26 tonnes gvw             | £90,457        |
|              | 4 axle rigid tipper                        | £98,334        |
|              | <b>Average</b>                             | <b>£67,774</b> |
| Artic LGV    | 33 tonne gvw artic, 2 axle                 | £56,579        |
|              | 38 tonne gvw artic, 2 axle                 | £81,300        |
|              | 38 tonne gvw, 3 axle                       | £81,300        |
|              | 32.5 tonne gvw drawbar combination, 2 axle | £63,363        |
|              | 40 tonne gvw, 3 axle                       | £99,747        |
|              | 44 tonne gvw, 3 axle                       | £106,680       |
|              | <b>Average</b>                             | <b>£81,495</b> |

### 4.3 Depreciation Rates

A non-compliant vehicle will not always be replaced with a new compliant vehicle; therefore, depreciation rates were used to calculate the value of differing vehicles and ages. Table 4-3 shows the depreciation rates from the National data inputs for Local Economic Models, provided by JAQU for this project. These have been used, since no locally derived depreciation values are available.

**Table 4-3: Depreciation Rates**

| Veh Type     | Year 1 | Year 2 | Year 3 | Year 4 | Year 5 | Year 6 | Year 7 | Year 8 | Year 9 | Year 10+ |
|--------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|----------|
| <b>Cars</b>  | 37%    | 18%    | 16%    | 16%    | 16%    | 16%    | 16%    | 16%    | 16%    | 16%      |
| <b>LGVs</b>  | 37%    | 18%    | 16%    | 16%    | 16%    | 16%    | 16%    | 16%    | 16%    | 16%      |
| <b>RHGVs</b> | 35%    | 18%    | 18%    | 18%    | 18%    | 18%    | 18%    | 18%    | 18%    | 18%      |
| <b>AHGVs</b> | 35%    | 18%    | 18%    | 18%    | 18%    | 18%    | 18%    | 18%    | 18%    | 18%      |
| <b>Buses</b> | 35%    | 18%    | 18%    | 18%    | 18%    | 18%    | 18%    | 18%    | 18%    | 18%      |

### 4.4 Vehicle value by age and vehicle type

The depreciation rates were used to calculate the value of Cars (Petrol and Diesel), LGVs and HGVs (Rigid and Artic) by age pivoting from the new prices calculated above. Table 4-4 shows the value by age and vehicle type.

**Table 4-4: Value by Age and Vehicle Type**

| Year >>       | 2017    | 2016    | 2015    | 2014    | 2013    | 2012    | 2011    | 2010    | 2009    | 2008   | 2007   | 2006   | 2005   |
|---------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|--------|--------|--------|--------|
| Cars (Petrol) | £12,486 | £10,238 | £8,600  | £7,224  | £6,068  | £5,097  | £4,282  | £3,597  | £3,021  | £2,538 | £2,132 | £1,791 | £1,504 |
| Cars (Diesel) | £11,080 | £9,086  | £7,632  | £6,411  | £5,385  | £4,524  | £3,800  | £3,192  | £2,681  | £2,252 | £1,892 | £1,589 | £1,335 |
| LGVs          | £12,735 | £10,443 | £8,772  | £7,369  | £6,190  | £5,199  | £4,367  | £3,669  | £3,082  | £2,589 | £2,174 | £1,827 | £1,534 |
| Rigid HGV     | £44,053 | £36,123 | £29,621 | £24,289 | £19,917 | £16,332 | £13,392 | £10,982 | £9,005  | £7,384 | £6,055 | £4,965 | £4,071 |
| Artic HGV     | £52,972 | £43,437 | £35,618 | £29,207 | £23,950 | £19,639 | £16,104 | £13,205 | £10,828 | £8,879 | £7,281 | £5,970 | £4,896 |

| Year >>       | 2004   | 2003   | 2002   | 2001   | 2000   | 1999   | 1998   | 1997   | 1996 | 1995 | 1994 | 1993 | 1992 |
|---------------|--------|--------|--------|--------|--------|--------|--------|--------|------|------|------|------|------|
| Cars (Petrol) | £1,263 | £1,061 | £892   | £749   | £629   | £528   | £444   | £373   | £313 | £263 | £221 | £186 | £156 |
| Cars (Diesel) | £1,121 | £942   | £791   | £665   | £558   | £469   | £394   | £331   | £278 | £233 | £196 | £165 | £138 |
| LGVs          | £1,289 | £1,083 | £909   | £764   | £642   | £539   | £453   | £380   | £319 | £268 | £225 | £189 | £159 |
| Rigid HGV     | £3,339 | £2,738 | £2,245 | £1,841 | £1,509 | £1,238 | £1,015 | £832   | £682 | £560 | £459 | £376 | £309 |
| Artic HGV     | £4,014 | £3,292 | £2,699 | £2,213 | £1,815 | £1,488 | £1,220 | £1,001 | £821 | £673 | £552 | £452 | £371 |

### 4.5 Average upgrade cost by vehicle type

Upgrade costs for each vehicle type and Euro Standard (and fuel type for cars) were calculated using the depreciated vehicle values presented in Table 4-4, comparing the resale cost of a non-compliant vehicle and the cost of purchasing a compliant vehicle.

To derive an average upgrade cost by vehicle type, the upgrade costs by vehicle type and Euro Standard were weighted by vehicle type sightings. The sightings of each vehicle type were calculated from the ANPR survey data for Bath, split by Euro standard. Table 4-5 shows the vehicle types split by euro standard.

**Table 4-5: Vehicle Type by Euro Standard**

| Eurostandard | Cars          |               | LGV           | HGVs        |              |
|--------------|---------------|---------------|---------------|-------------|--------------|
|              | Diesel        | Petrol        |               | Artic       | Rigid        |
| Euro 0       | 206           | 1633          | 498           | 0           | 34           |
| Euro 1       | 636           | 3541          | 1314          | 7           | 61           |
| Euro 2       | 3764          | 29783         | 4060          | 24          | 444          |
| Euro 3       | 52670         | 127446        | 20012         | 225         | 1897         |
| Euro 4       | 98292         | 157268        | 36359         | 347         | 2815         |
| Euro 5       | 179839        | 174678        | 75949         | 2776        | 8600         |
| Euro 6       | 118533        | 133503        | 22371         | 5401        | 9011         |
| <b>Total</b> | <b>453940</b> | <b>627852</b> | <b>160563</b> | <b>8780</b> | <b>22862</b> |

It was necessary to also account for 'secondary' behavioural responses within these calculations, to estimate the proportion of vehicles replaced by new or used vehicles, and the switch between diesel and petrol cars. In the absence of more accurate/local information, JAQU's assumptions from paragraph 3.3 of the Evidence Package, have been used, and are as follows:

- 25% of those with a non-compliant vehicle who upgrade will buy a brand-new vehicle of the same fuel type.
- The other 75% will replace their vehicle with a second-hand compliant vehicle. Of these, 75% of diesels owners will switch to petrol with the remainder keeping the same fuel type.

Table 4-6 shows the weighted upgrade cost calculations for Cars (Petrol and Diesel), LGV and HGVs (Rigid and Artic). The cost of resale is based on the lowest value of that vehicle type and euro standard. The cost of a compliant vehicle was calculated using on the secondary behavioural responses outlined above, and also based on an assumption that the lowest cost second-hand compliant vehicle will be purchased.

Table 4-6: Weighted Upgrade Costs

| Vehicle Type         | Euro Class       | Euro Class Count | Resale Cost | Cost of Compliant Vehicle | Cost to Upgrade per vehicle | Cost to Upgrade total |
|----------------------|------------------|------------------|-------------|---------------------------|-----------------------------|-----------------------|
| Car (Petrol)         | Euro 0           | 1633             | £0          | £ 6,297.58                | £6,298                      | £10,283,951.78        |
|                      | Euro 1           | 3541             | £156        | £ 6,297.58                | £6,142                      | £21,747,597           |
|                      | Euro 2           | 29783            | £373        | £ 6,297.58                | £5,925                      | £176,456,458          |
|                      | Euro 3           | 127446           | £629        | £ 6,297.58                | £5,669                      | £722,430,846          |
|                      | Weighted Average |                  |             |                           |                             |                       |
| Car (Diesel)         | Euro 0           | 206              | £0          | £ 6,835.12                | £6,835                      | £1,408,035            |
|                      | Euro 1           | 636              | £138        | £ 6,835.12                | £6,697                      | £4,259,131            |
|                      | Euro 2           | 3764             | £331        | £ 6,835.12                | £6,504                      | £24,481,984           |
|                      | Euro 3           | 52670            | £558        | £ 6,835.12                | £6,277                      | £330,602,976          |
|                      | Euro 4           | 98292            | £1,335      | £ 6,835.12                | £5,500                      | £540,633,312          |
|                      | Euro 5           | 179839           | £3,800      | £ 6,835.12                | £3,035                      | £545,878,449          |
|                      | Weighted Average |                  |             |                           |                             |                       |
| Weighted Average Car |                  |                  |             |                           |                             | <b>£4,777.29</b>      |
| LGVs                 | Euro 0           | 498              | £0          | £ 8,772                   | £8,772                      | £4,368,544.62         |
|                      | Euro 1           | 1314             | £159        | £ 8,772                   | £8,613                      | £11,317,651           |
|                      | Euro 2           | 4060             | £380        | £ 8,772                   | £8,392                      | £34,070,995           |
|                      | Euro 3           | 20012            | £642        | £ 8,772                   | £8,131                      | £162,708,169          |
|                      | Euro 4           | 36359            | £1,534      | £ 8,772                   | £7,238                      | £263,163,288          |
|                      | Euro 5           | 75949            | £4,367      | £ 8,772                   | £4,405                      | £334,537,253          |
|                      | Weighted Average |                  |             |                           |                             |                       |
| HGV Rigid            | Euro 0           | 0                | £0          | £29,621                   | £29,621                     | £0.00                 |
|                      | Euro 1           | 7                | £309        | £29,621                   | £29,313                     | £205,188.79           |
|                      | Euro 2           | 24               | £832        | £29,621                   | £28,789                     | £690,935.62           |
|                      | Euro 3           | 225              | £1,509      | £29,621                   | £28,112                     | £6,325,156.99         |
|                      | Euro 4           | 347              | £4,071      | £29,621                   | £25,550                     | £8,865,798.51         |
|                      | Euro 5           | 2776             | £13,392     | £29,621                   | £16,229                     | £45,051,227.18        |
|                      | Weighted Average |                  |             |                           |                             |                       |
| HGV artic            | Euro 0           | 34               | £0          | £35,618                   | £35,618                     | £1,211,016.48         |
|                      | Euro 1           | 61               | £371        | £35,618                   | £35,247                     | £2,150,074.22         |
|                      | Euro 2           | 444              | £1,001      | £35,618                   | £34,617                     | £15,370,122.78        |
|                      | Euro 3           | 1897             | £1,815      | £35,618                   | £33,803                     | £64,124,522.95        |
|                      | Euro 4           | 2815             | £4,896      | £35,618                   | £30,722                     | £86,483,808.65        |
|                      | Euro 5           | 8600             | £16,104     | £35,618                   | £19,514                     | £167,823,834.79       |
|                      | Weighted Average |                  |             |                           |                             |                       |

## 5. Proposed Charge Rates

The range of charge rates considered for the Bath CAZ are shown in Table 5-1.

**Table 5-1: Bath CAZ Charge Ranges**

| Charge Class | Lower Limit | Upper Limit |
|--------------|-------------|-------------|
| Cars         | £3.00       | £12.50      |
| Taxis        | £3.00       | £30.00      |
| LGVs         | £3.00       | £70.00      |
| HGVs         | £55.00      | £150.00     |
| Buses        | £55.00      | £150.00     |
| Coaches      | £55.00      | £150.00     |

The charges were initially set for Cars, taxis and LGVs so that the responses of avoid zone, change mode / cancel journey and replace vehicle combined roughly equated to the combined JAQU CAZ responses in Table 5-1. There was an iterative process when choosing the final charge rates as the initial charges selected (£7.50 for cars/taxis/LGVs, £100 for HGVs/buses/coaches) did not bring about compliance with the European Limit Value for annual mean nitrogen dioxide in 2021. The final proposed charges are shown in Table 5-2. These are selected as the minimum charges which will achieve compliance in 2021, based on the traffic and air quality modelling.

**Table 5-2: Bath CAZ Proposed Charges**

| Charge Class | Charge  |
|--------------|---------|
| Cars         | £9.00   |
| Taxis        | £9.00   |
| LGVs         | £9.00   |
| HGVs         | £100.00 |
| Buses        | £100.00 |
| Coaches      | £100.00 |

### 5.1 Primary Behavioural Responses

#### 5.1.1 Cars

The response of the non-compliant car user class that travels in the zone are derived directly from the stated preference survey, which provides proportions on the following responses:

- Pay Charge
- Avoid Zone
- Replace Vehicle
- Cancel Trip / Change Mode

To extract data from the stated preference survey statistical model an upgrade cost is required to be entered to determine a range of primary behavioural responses for different charge rates. The average upgrade costs for cars are shown in Table 6, with a weighted average value of £4,777.

A charge of £9.00 for cars has been identified as being required to address the air quality exceedances within Bath and is expected to bring about compliance with the European Limit Value for annual mean nitrogen dioxide in the shortest time possible. Based on a £9.00 charge, Table 5-3 shows the primary behavioural responses rates for Cars.

Table 5-3: Car Primary Behavioural Response Rates

| Response              | Cars  |
|-----------------------|-------|
| Pay Charge / Excluded | 4.9%  |
| Avoid Zone            | 19.6% |
| Cancel Journey        | 6.3%  |
| Change Mode           | 12.1% |
| Replace Vehicle       | 57.2% |

### 5.1.2 LGVs

LGV primary behavioural response rates are calculated from the stated preference survey responses who were identified as an 'Employers Business' trip purpose (all respondents were asked to state the purpose of their most recent trip). Again, to extract data from the stated preference survey statistical models an upgrade cost is required to be entered to determine a range of primary behavioural responses for different charge rates. The average upgrade costs for LGVs are shown in Table 4-6, with a weighted average value of £5,862.

A charge of £9.00 for LGVs has been identified as being required to address the air quality exceedances within Bath and is expected to bring about compliance with the European Limit Value for annual mean nitrogen dioxide in the shortest time possible. Table 5-4 shows the primary behavioural responses rates for LGVs.

Table 5-4: LGVs Primary Behavioural Response Rates

| Response                  | LGV   |
|---------------------------|-------|
| Pay Charge                | 16.8% |
| Avoid Zone                | 12.2% |
| Cancel Journey            | 4.1%  |
| Replace Vehicle / Upgrade | 66.8% |

Please note that the change mode response has been removed from the calculations as this is not possible for LGVs trips.

In addition, 50 minibuses used for school related trips have been identified by B&NES through conversations with operators, and there is some doubt over the ability of these operators to upgrade their vehicles without significant impacts on the operation of these critical services. The outcome of these discussions is set out in 674726.BR.042.OBC-05 (System Design Features and Payment Exemptions for Bristol Clean Air Zone). The response of these 50 vehicles, whilst critical to the delivery of key services within Bath, is not significant within the model given there are approximately 16,000 LGVs observed in the fortnight of ANPR surveys. As such, their response has not been separately accounted for within the modelled responses.

### 5.1.3 HGVs

The primary behavioural responses rates for HGVs were determined by comparing the cost to upgrade with the cost of paying the charge throughout a 5-year time period, with a tipping point of upgrading the vehicle when paying the charge becomes more expensive.

Trip frequency data from the ANPR surveys was used to establish the average annual cost of CAZ charges, with assumptions of how a two-week sample would be distributed over a year. The upgrade vehicle costs are based on the assumptions and calculations shown in the Chapter 4 and presented in Table 6.

This calculation represents a very simple comparison of costs for HGV operators, which does not fully reflect the complexity of the decision-making process faced by the operators. It is anticipated that in reality there would be significant variation in consideration of these costs between different operators and different operating models. Sufficient data or evidence does not exist to understand this complexity and come to any reliable conclusions. It is acknowledged that the simple calculation set out here is not comprehensive.

This is further corroborated by comparing a similar calculation for Cars and LGVs with the response rates calculated from the stated preference survey. In the absence of any more reliable data, the difference between the response rates calculated using this simple method and those calculated from the stated preference survey for LGVs has been used to give a reasonable estimation of the ‘error’ within the simple calculation process. The HGV response rates have been adjusted by this error factor to account for the simplicity of the calculation and the factors that are overlooked.

A charge of £100 for HGVs has been identified as being required to address the air quality exceedances within Bath and is expected to bring about compliance with the European Limit Value for annual mean nitrogen dioxide in the shortest time possible. Table 5-5 shows the primary behavioural responses rates for HGVs.

**Table 5-5: HGVs Primary Behavioural Response Rates**

| Response                  | HGV rigid | HGV artic | Weighted HGVs |
|---------------------------|-----------|-----------|---------------|
| Pay Charge                | 11.2%     | 17.4%     | 12.2%         |
| Avoid Zone                | 4.9%      | 4.9%      | 4.9%          |
| Cancel Journey            | 1.7%      | 2.7%      | 1.9%          |
| Replace Vehicle / Upgrade | 82.1%     | 72.3%     | 80.5%         |

#### 5.1.4 Taxis

The taxi response rate is based on B&NES enforcing a 100% compliance for Taxis through their licensing agreements with taxi operators. An exception has been made for wheelchair accessible taxi vehicles (WAVs) which are likely to be exempted from CAZ charges in order to ensure the continued provision of these services in the face of substantial vehicle upgrade costs. Other possible taxi concessions or exemptions are under consideration which are discussed in 674726.BR.042.OBC-05. Table 5-6 shows the compliance splits by fuel type and non-WAV/WAV. Table 5-6 shows the weighted (by fuel type) primary behavioural response rates for Taxis, taking into account the exception for WAV taxis.

**Table 5-6: Taxi Compliance by fuel type and non-WAV/WAV (no. vehicles)**

| Compliance    | Petrol  |     | Diesel  |     |
|---------------|---------|-----|---------|-----|
|               | non-WAV | WAV | non-WAV | WAV |
| Compliant     | 35      | 0   | 81      | 1   |
| Non_Compliant | 0       | 0   | 353     | 15  |
| Total         | 35      | 0   | 434     | 16  |

**Table 5-7: Taxi Primary Behavioural Response Rates**

| Response                     | Petrol | Diesel | Weighted Average |
|------------------------------|--------|--------|------------------|
| Exempt                       | NA     | 4%     | 4%               |
| Avoid Zone                   | NA     | 0%     | 0%               |
| Cancel Journey / Change Mode | NA     | 0%     | 0%               |
| Replace Vehicle              | NA     | 96%    | 96%              |

#### 5.1.5 Coaches

The initial response rates for coaches were taken from ‘Table 2 – Behavioural responses to charging Clean Air Zones’ in the Evidence Package, provided by JAQU. Figure 2-1 shows these responses earlier in this note.

An adjustment for school coaches has been made to reflect ongoing discussions with operators of school coach trips which is discussed further in 674726.BR.042.OBC-05. The impact of this assumption is very small within the overall number of coaches operating in Bath. However, it is considered to be the ‘worst case’ outcome of the ongoing discussions and is therefore reflected in the model in this way whilst discussions continue.

Table 5-8: Coach Primary Behavioural Response Rates

| Response                  | Coaches |
|---------------------------|---------|
| Pay Charge                | 18.6%   |
| Avoid Zone                | 0.00%   |
| Cancel Journey            | 12.28%  |
| Replace Vehicle / Upgrade | 69.16%  |

### 5.1.6 Buses

The response rates for buses was determined through discussions between B&NES and bus operators. These identified that approximately half the bus fleet could be expected to be fully replaced by 2021, and the remaining buses could largely be retrofitted with financial assistance.

It is possible that some services may stop running if they are deemed financially unviable. Whilst B&NES is working closely with the bus operators to minimise those risk, it is considered prudent within the modelling to assume a scenario where a small number of services are removed. Therefore, the percentage of trips cancelled for buses has been taken from 'Table 2 – Behavioural responses to charging Clean Air Zones' in the JAQUs Evidence Package.

Table 5-9: Bus Primary Behavioural Response Rates

| Response                  | Buses |
|---------------------------|-------|
| Pay Charge                | 0.0%  |
| Avoid Zone                | 0.0%  |
| Cancel Journey            | 6.4%  |
| Replace Vehicle / Upgrade | 93.6% |

### 5.1.7 Foreign Vehicles

An adjustment for foreign vehicles has been applied to the responses rates calculated from the methodology set out above, as foreign vehicles cannot be reliably charged (their details are not captured in the DVLA database in order to determine if the vehicle is compliant and so enforcement can only occur through a manual process with limited powers). From the ANPR survey it has been identified that at least 98.35% of all journeys (not vehicles) are made by UK registered vehicles, leaving less than 2% of journeys made by foreign vehicles.

The final response rates will assume a 'worst case', i.e. that these vehicles continue to drive within the zone but do not pay the charge. In reality it is unlikely that this will be the case for all foreign vehicles.

## 6. Final Primary Behavioural Response Rates

Table 6-1 shows the final primary behavioural response rates by vehicle type produced the methodology set out in this report. These are the response rates that have been applied to the core modelling scenarios within the traffic model.

**Table 6-1: Final Primary Behavioural Response Rates**

| Response                        | Cars  | Taxis | LGVs  | HGVs  | Buses | Coaches |
|---------------------------------|-------|-------|-------|-------|-------|---------|
| Pay Charge / Excluded           | 4.9%  | 4.1%  | 18.4% | 13.8% | 0.0%  | 20.1%   |
| Avoid Zone                      | 19.6% | 0.0%  | 11.7% | 4.4%  | 0.0%  | 0.0%    |
| Cancel Journey /<br>Change Mode | 18.3% | 0.0%  | 3.6%  | 1.4%  | 6.4%  | 11.5%   |
| Replace Vehicle                 | 57.2% | 95.9% | 66.3% | 80.4% | 93.6% | 68.4%   |